

# **Impact of target binding on the pharmacokinetics of small-molecule drugs: Insights via PBPK modeling (revisiting the case of warfarin)**

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# Target-mediated Drug Disposition (TMDD)

✓ Introduced by Dr. Gerhard Levy (1994)

✓ Type of nonlinear PK

✓ When drugs bind to a target with **high affinity** and **to a significant extent** (relative to dose), part of the initial dose is rapidly acquired by the target sites and only then the drug will distribute to other tissues.

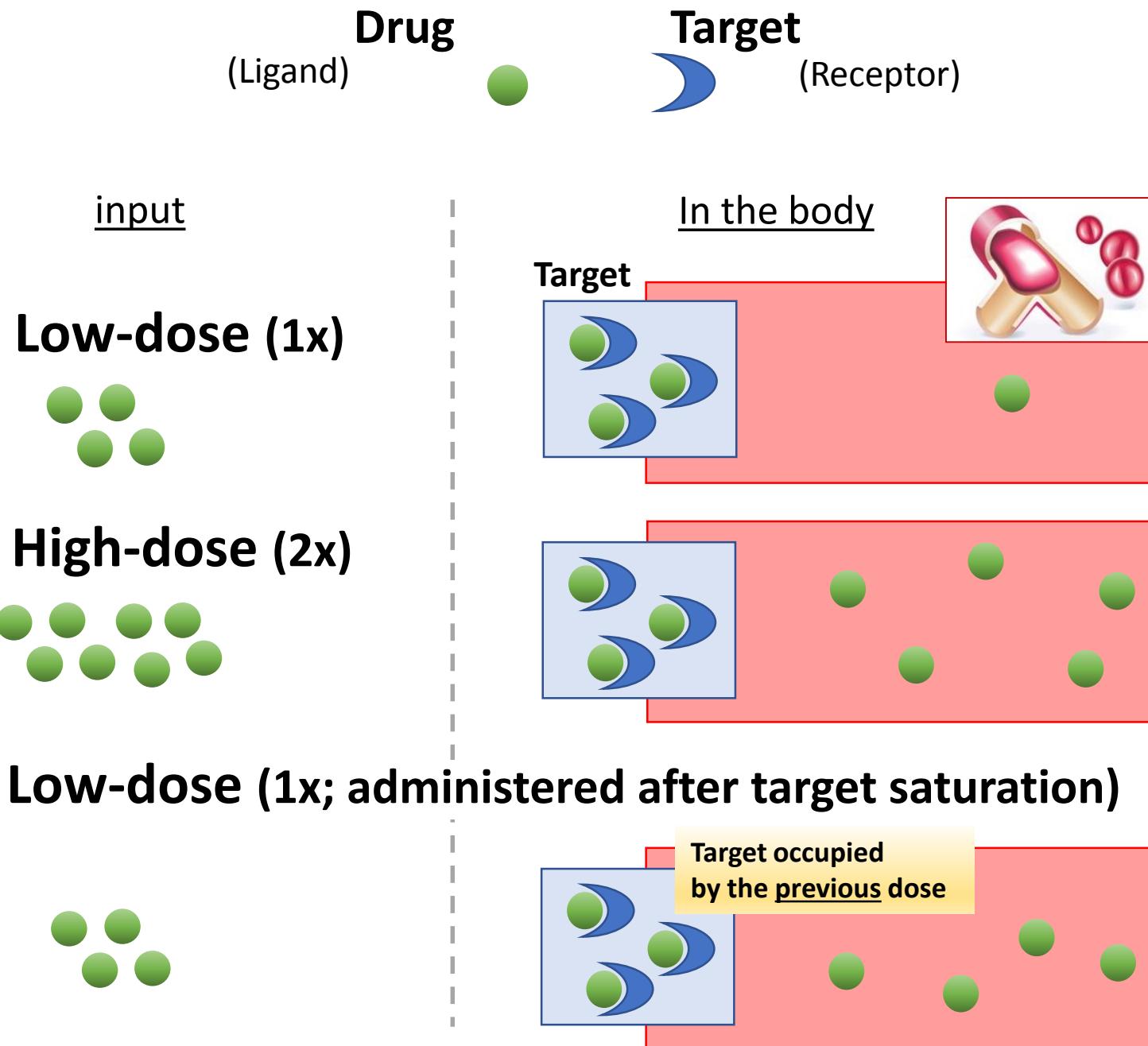
Pharmacologic target-mediated drug disposition

Gerhard Levy, PharmD Amherst, N.Y.

(Clin Pharmacol Ther, 1994)



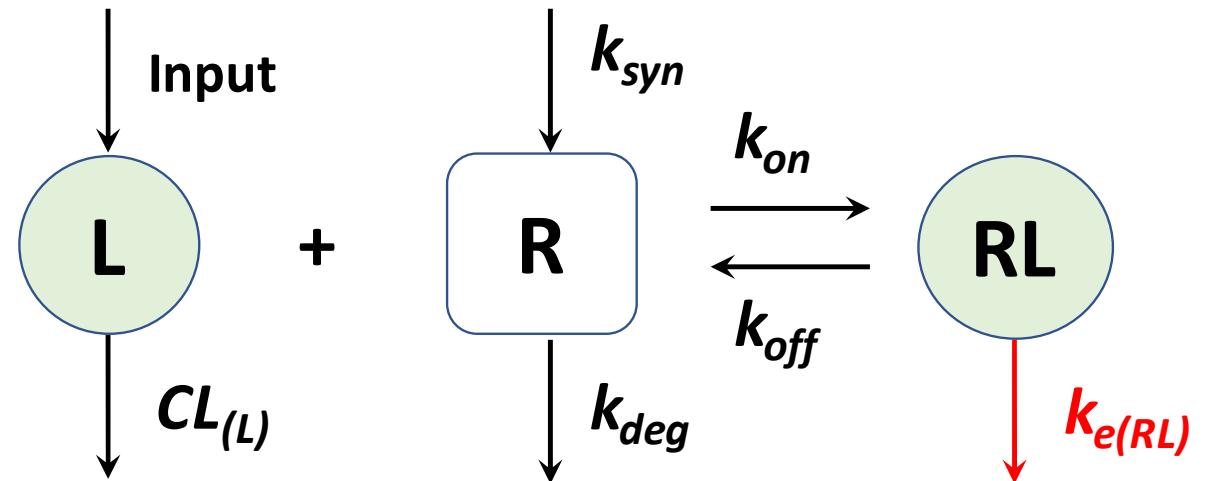
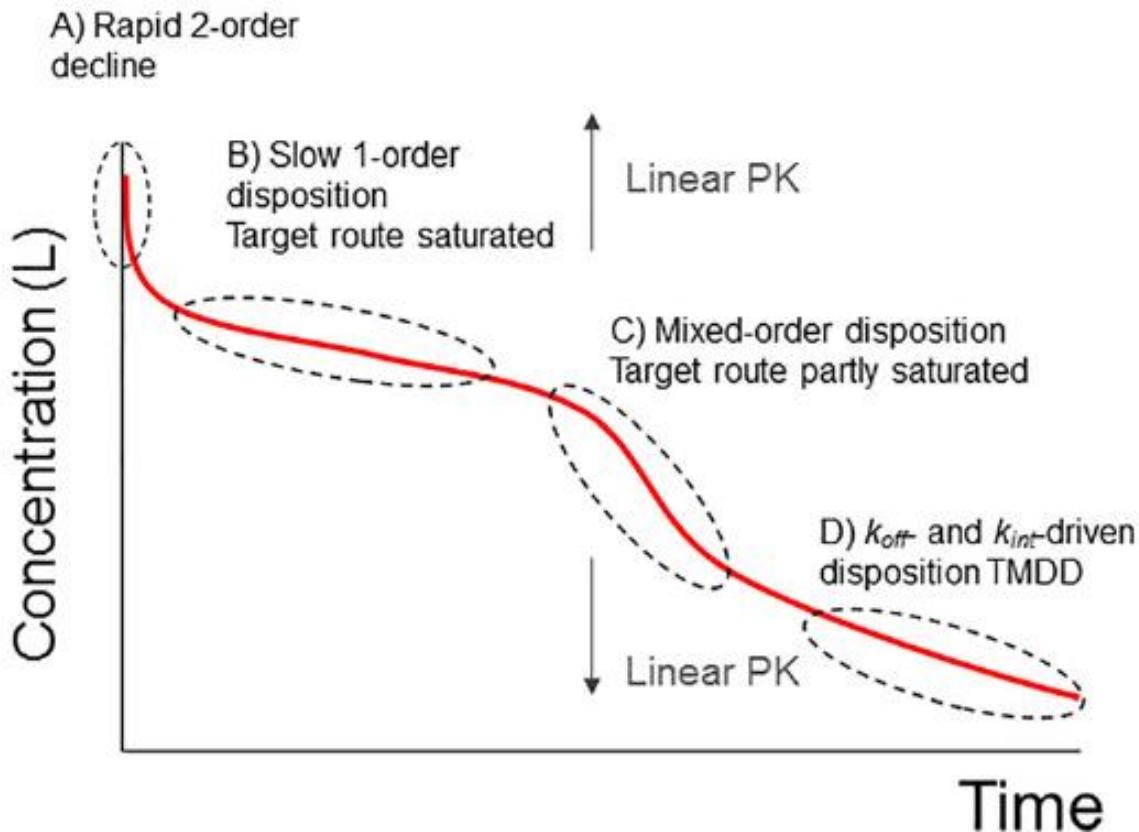
Gerhard Levy, PharmD  
(1928-2017)



- Saturable (low capacity) binding with high affinity & to a **significant extent**
- Slow turnover
- Formation of Drug-Target complex as a clearance mechanism

**“Target binding can impact PK”**

# TMDD frequently associated with biologics



But also with  
small-molecule drugs  
(more cases recognized lately)

# Impact of target interactions on small-molecule drug disposition: an overlooked area

Two insightful reviews published in 2018

*Robert A. B. van Waterschoot<sup>1</sup>, Neil J. Parrott<sup>1</sup>, Andrés Olivares-Morales<sup>1</sup>, Thierry Lavé<sup>1</sup>, Malcolm Rowland<sup>2</sup> and Dennis A. Smith<sup>3</sup>*

(Nat Rev Drug Discov. 2018, PMID 29472637)

## Importance of target-mediated drug disposition for small molecules

**Dennis A. Smith<sup>1</sup>, Robert A.B. van Waterschoot<sup>2</sup>, Neil J. Parrott<sup>2</sup>, Andrés Olivares-Morales<sup>2</sup>, Thierry Lavé<sup>2</sup> and Malcolm Rowland<sup>3</sup>**

(Drug Discov Today 2018, PMID 29928850)

# Our On-going Questions..

- **Why was this phenomenon overlooked?**  
(Does this phenomenon happen more often now than before?)
- **When & how** does target binding impact the pharmacokinetics of small-molecule drugs?
- What are the **necessary conditions** for small-molecule drugs to display TMDD?
- Any special considerations/precautions during drug development?

# Small-Molecule Drugs with TMDD: A Growing List

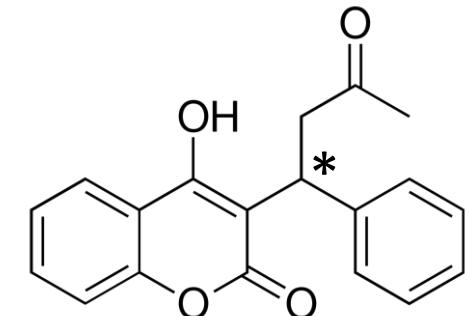
Drug	Target
Warfarin	vitamin K epoxide reductase (VKORC1)
Imirestat, Ranirestat	aldose reductase (AR)
Enalaprilat, Perindoprilat, Cilazaprilat	angiotensin-converting enzyme
Selegiline	monoamine oxidase type B
Linagliptin, AMG222	dipeptidyl peptidase-4 (DPP-4)
HSP90 inhibitors (Luminespib)	HSP90
Bosentan	endothelin receptor
Finasteride	steroid 5 $\alpha$ -reductase
ABT-384	11 $\beta$ -hydroxysteroid dehydrogenase type 1
PF-04457845	fatty acid amide hydrolase-1 (FAAH1)
Topiramate	Carbonic anhydrase
Bortezomib	proteasome

Earliest example of small-molecule drugs with TMDD

High-affinity, high-potency binding to VKORC1 ( $K_d$  in nM ranges)

# Warfarin: From rat poison to life-saving medicine

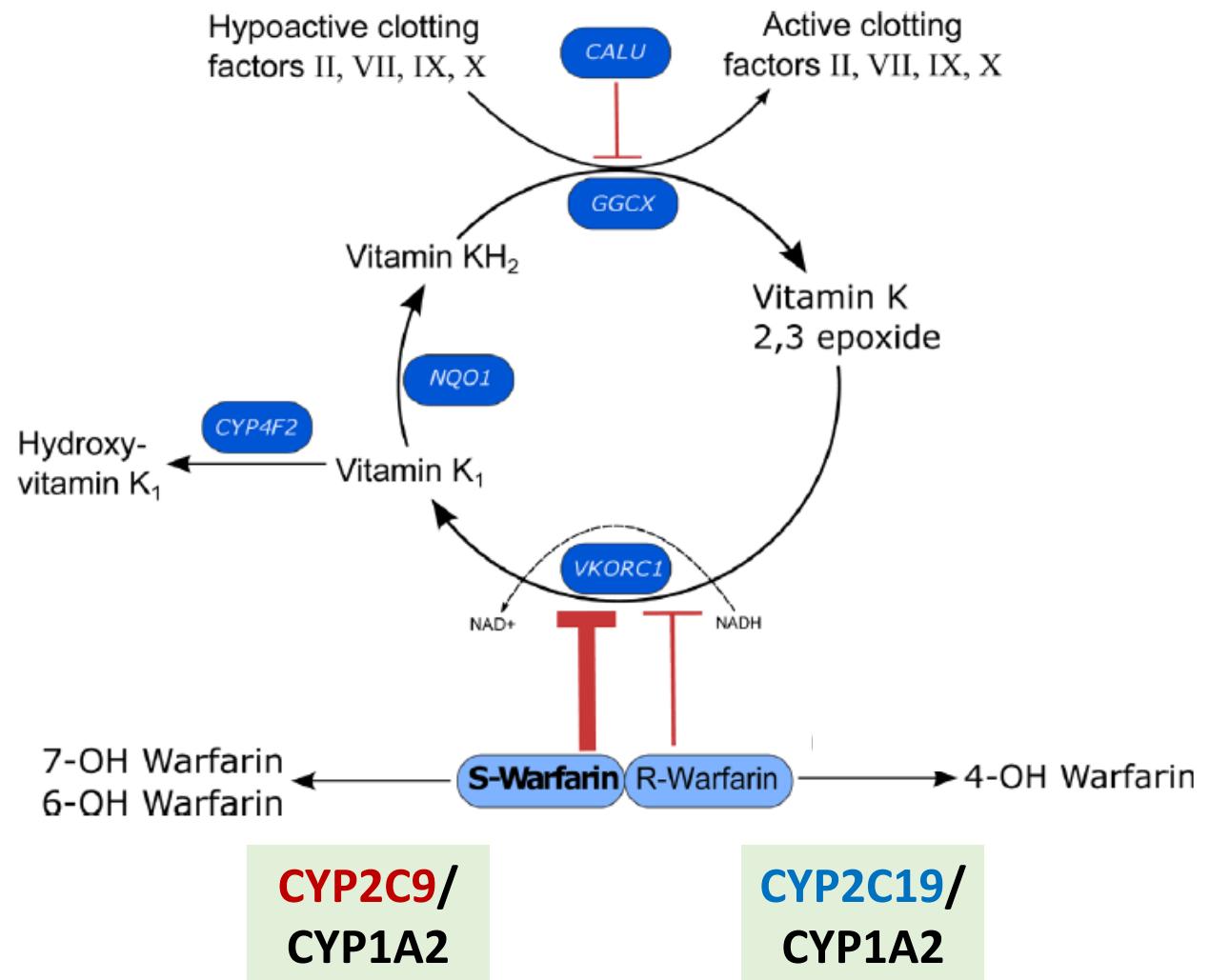
- 1920's: hemorrhagic disease in cows fed with sweet clover (later **dicoumarol** found as the causal agent by Dr. Link)
- 1948: potent coumarin derivative warfarin (Wisconsin Alumni Research Foundation + Coumarin) proposed as a rodenticide
- 1954: warfarin (trade name Coumadin) approved as an oral anticoagulant for human use
- vitamin K antagonist; it inhibits **VKORC1**, preventing the formation of coagulation factors II, VII, IX, & X



High-affinity, high-potency target binding ( $K_d$  in nM ranges)

# Warfarin therapy: Challenges

- Large inter- & intra-individual variabilities (at both PK & PD levels)
- Narrow therapeutic range

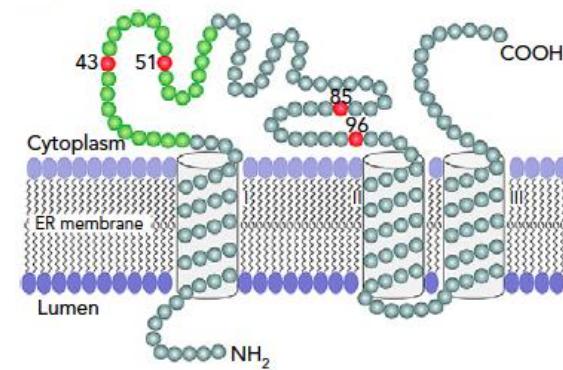


(Kaye et al. 2017; Bi et al. 2018)

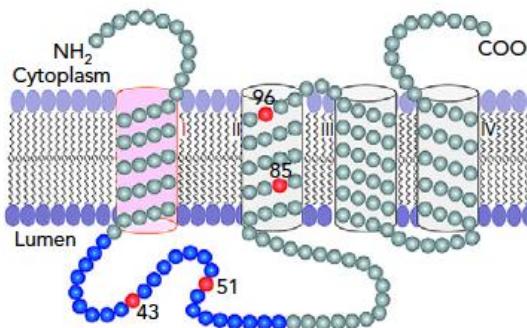
# Warfarin & VKOR: Continuing story..

VKOR: 3-transmembrane (TM) or 4-TM domains? Still controversial.

3-TM model



4-TM model



or

● Cysteine

Wu et al. Blood.  
2018;132(6):647-657

- Binding of warfarin to VKOR is impacted by the redox status and disulfide bridges of Cys residues (possibly accounting for **varying potency depending on assay platforms**)
- Binding of warfarin to VKOR: **high-affinity, high-potency** ( $K_D$  of ~30-60 nM from a radiolabeled binding study using rat liver microsomes; PMID 2706010)

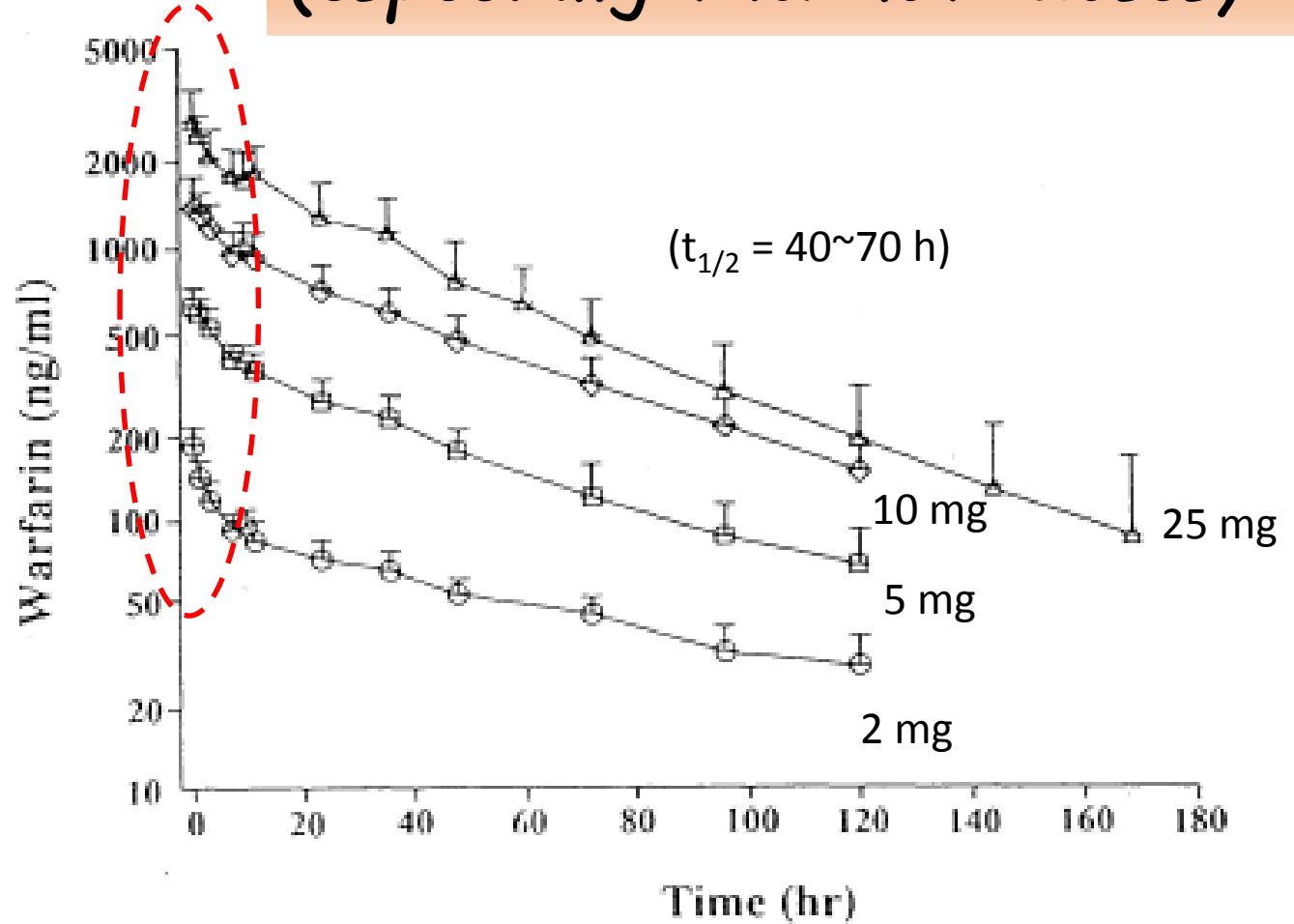
# Warfarin: Nonlinear PK in Humans

Oral administration of  
**racemic warfarin**  
to healthy volunteers

R-/S-warfarin  
not separated

King et al. (1995)

*Rapid initial distribution  
(especially with low doses)*



# Warfarin: Nonlinear PK in Humans

King et al (1995)

Table I. Pharmacokinetic Parameters of Warfarin After Administration of Single Oral Doses of Coumadin Tablets in Healthy Volunteers<sup>a</sup>

Parameter	2-mg (n = 19) <sup>c</sup>	5-mg (n = 19) <sup>c</sup>	10-mg (n = 18) <sup>c</sup>	25-mg <sup>b</sup> (n = 12) <sup>c</sup>
C <sub>max</sub> (μg/ml)	0.19 ± 0.03	0.65 ± 0.08	1.4 ± 0.3	3.0 ± 0.8
t <sub>max</sub> (hr) <sup>d</sup>	1.0 (1.0, 1.0)	1.0 (1.0, 4.0)	1.0 (1.0, 4.0)	1.0 (1.0, 2.0)
AUC <sub>0-∞</sub> (μg · hr/ml)	10 ± 2	29 ± 6	62 ± 16	120 ± 30
λ <sub>n</sub> × 100 (hr <sup>-1</sup> )	0.98 ± 0.19 <sup>e</sup>	1.5 ± 0.2	1.7 ± 0.3	1.8 ± 0.4
t <sub>1/2</sub> (hr) <sup>f</sup>	71 ± 14 <sup>e</sup>	47 ± 8	41 ± 7	38 ± 8
CL/F (ml/min)	3.3 ± 0.7	3.1 ± 0.6	2.8 ± 0.7	3.7 ± 1.3
V/F (l)	21 ± 4 <sup>e</sup>	12 ± 2	10 ± 2	12 ± 4

<sup>a</sup> All data are expressed as mean ± SD.

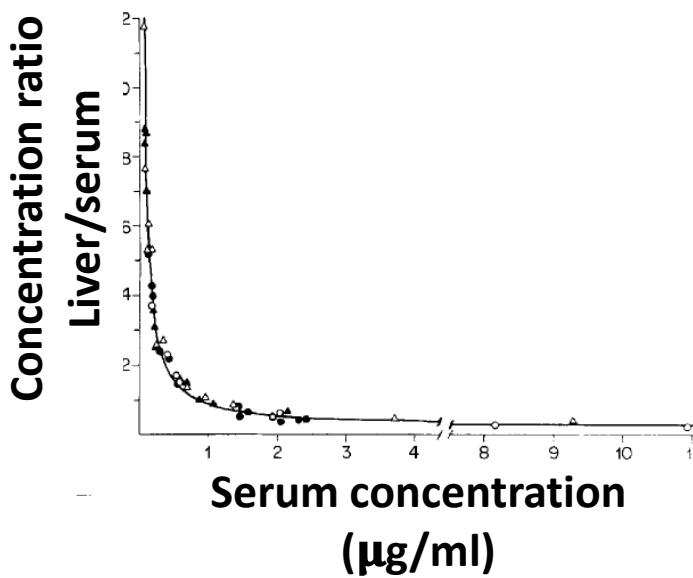
With increasing warfarin doses,  
CL: no change  
V<sub>d</sub>: ↓

Mechanism(s)??

# Saturable target binding: Sequential dosing in rats

Takada & Levy, 1980, PMID: 7354452

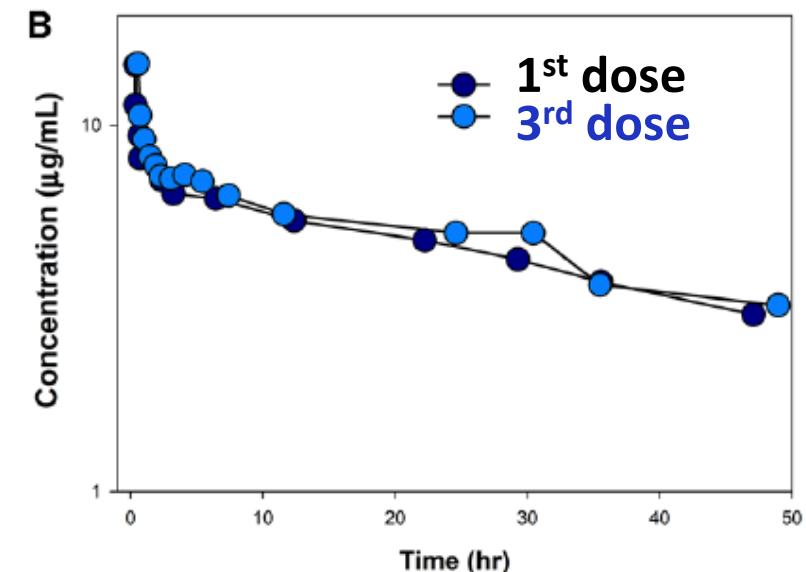
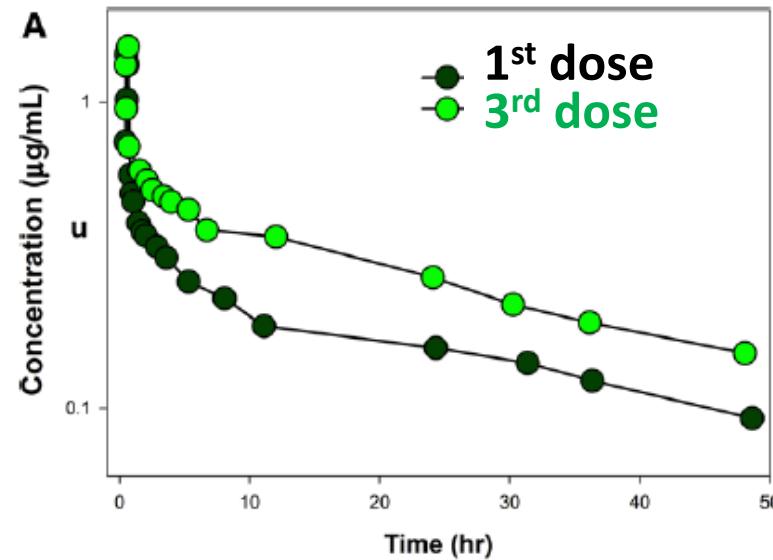
Same **rats** received 3 doses at 2-week intervals



0.1 mg/kg

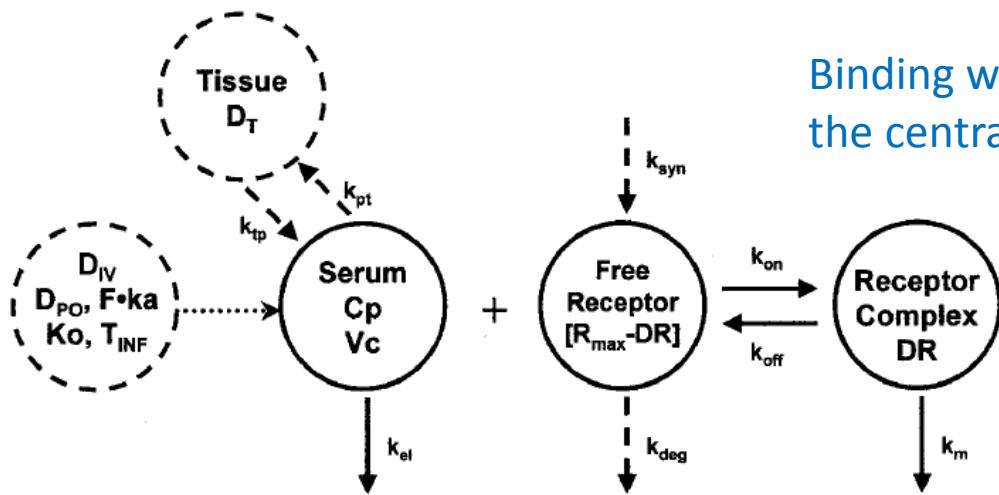


1 mg/kg



1 mg/kg warfarin dose: sufficiently high to saturate the high-affinity binding to VKOR

# Warfarin: Compartmental PK Model (humans; Levy et al. 2003)



General Pharmacokinetic Model for Drugs Exhibiting Target-Mediated Drug Disposition

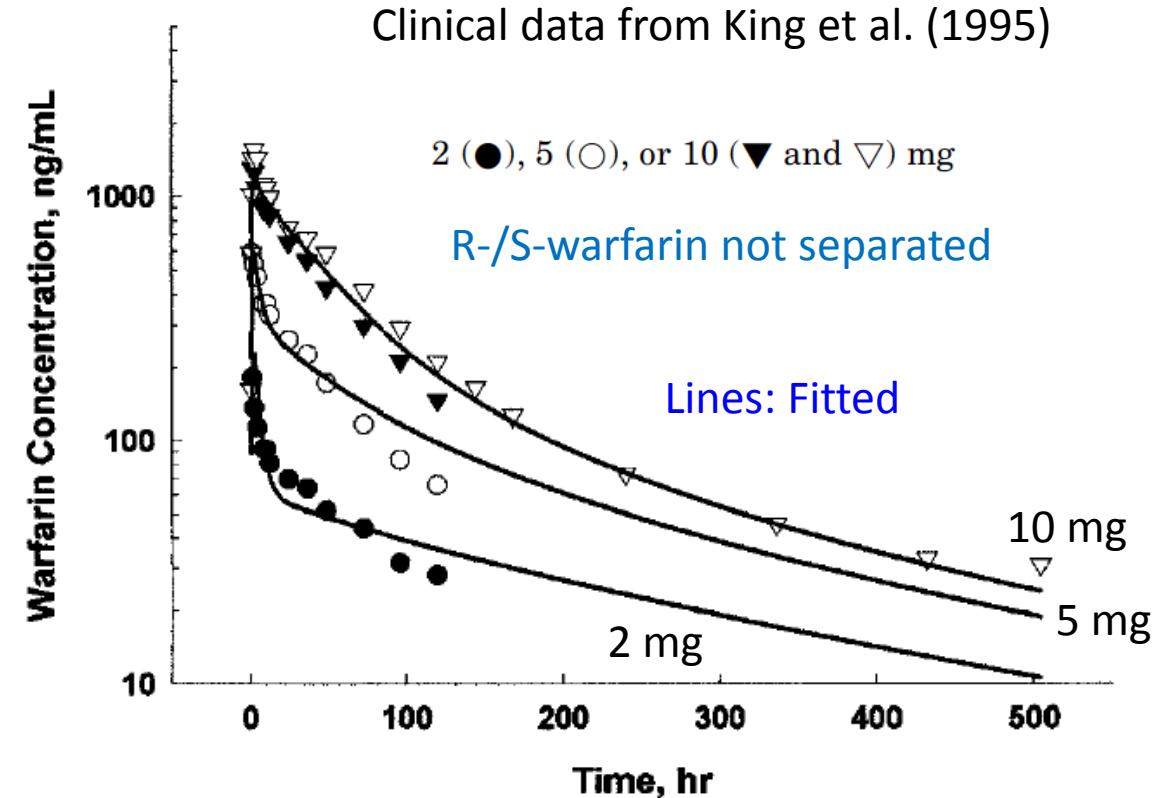
Donald E. Mager<sup>1</sup> and William J. Jusko<sup>1,2</sup>

$$\frac{dCp}{dt} = \ln(t) - (k_{el} + k_{pt}) \cdot Cp + k_{tp} \cdot \frac{D_T}{Vc} - k_{on} \cdot (R_{max} - DR) \cdot Cp + k_{off} \cdot DR$$

$$\frac{dD_T}{dt} = k_{pt} \cdot Cp \cdot Vc - k_{tp} \cdot D_T$$

$$\frac{dDR}{dt} = k_{on} \cdot (R_{max} - DR) \cdot Cp - (k_{off} + k_m) \cdot DR$$

Binding with the drug in the central compartment



Levy et al. J Pharm Sci. 2003;  
PMID 12712418

(2003)

# Warfarin: Compartmental PK Model (humans; Levy et al. 2003)

**Table 3.** Target-Mediated Warfarin Disposition Modeling Parameters in Man

Parameter <sup>a</sup>	Racemic Warfarin <sup>b</sup>
$k_e, h^{-1}$	0.0193 (11)
$k_{on}, \mu M^{-1} h^{-1}$	0.126 (20)
$k_{off}, h^{-1}$	0.0405 (22)
$R_{max}, \mu moles \cdot kg^{-1}$	0.167 (14)
$V_c, L \cdot kg^{-1}$	0.0745 (11)
$k_a, h^{-1}$	1.19 (19)

<sup>a</sup>Model parameters (Case A of Reference<sup>2</sup>) are:  $k_e$ , first order elimination rate constant;  $k_{on}$ , second order rate constant for formation of drug-target complex;  $k_{off}$ , first order rate constant for dissociation of drug-target complex;  $R_{max}$ , total density of target protein;  $V_c$ , volume of central compartment;  $k_a$ , first order absorption rate constant.

<sup>b</sup>Coefficient of variation in parentheses.

$$K_d = k_{off}/k_{on} = 0.321 \mu M$$

for unbound warfarin,  $\sim 4.1 nM$   
(assuming the free fraction in plasma 1.3%)

$$\text{Dissociation } t_{1/2} = 0.693/0.0405 = 17.1 \text{ h}$$

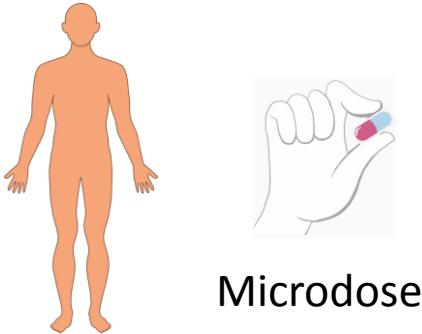
$$R_{max} = 0.167 \mu mole$$

$\rightarrow 10.2 \mu mole$  for 60 kg

warfarin therapeutic dose	
mg	micromole
2	6.49
5	16.23
10	32.47

# Prediction of human PK: Microdosing approach

## Dose extrapolation in humans



Microdose



Therapeutic dose

**“Human is the best model for human”**

	Approach 1	Approach 2
	Microdosing	
<b>Dose Definition</b>	$\leq 1/100^{\text{th}}$ NOAEL and $\leq 1/100^{\text{th}}$ of pharmacologically active dose (scaled on mg/kg for IV and mg/m <sup>2</sup> for oral)	Same as approach 1
<b>Cumulative Dose</b>	100 µg	500 µg
<b>Limit per Dose</b>	100 µg	100 µg
<b>Maximal Daily Dose</b>	100 µg	100 µg
<b>Number / duration of Dosing</b>	<b>1</b> (could be divided to multiple doses with a total of 100 µg)	<b>5</b>
<b>Washout</b>	No washout	6 or more half-lives between doses

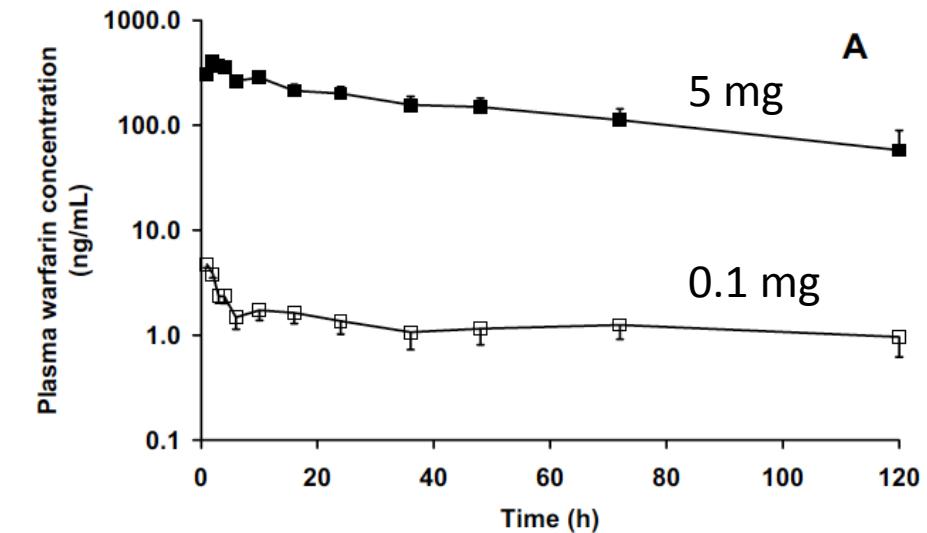
**Key questions for dose extrapolation:**

- Is there **non-linearity in the microdose vs therapeutic-level exposure range?**
- If so, by what mechanisms? (saturable metabolism, transport and/or target binding)?

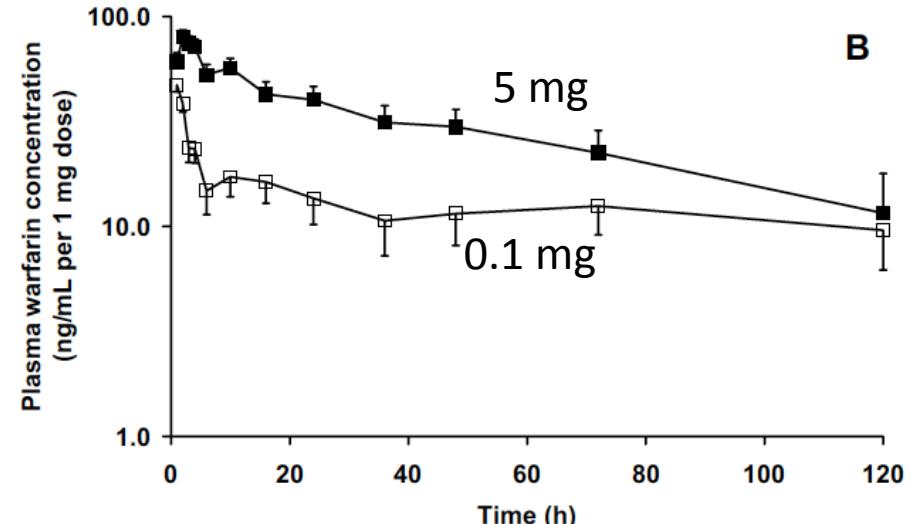
# Warfarin: Microdose vs Full Dose (Lappin et al. 2006)

Warfarin dose (mg)	CL (L/h)	V (L)
0.1	0.17 (54.8)	<b>67.3 (41.4)</b>
5	0.26 (48.1)	<b>17.9 (19.9)</b>

Microdosing (0.1 mg) of warfarin did NOT predict the PK at the therapeutic dose (5 mg)

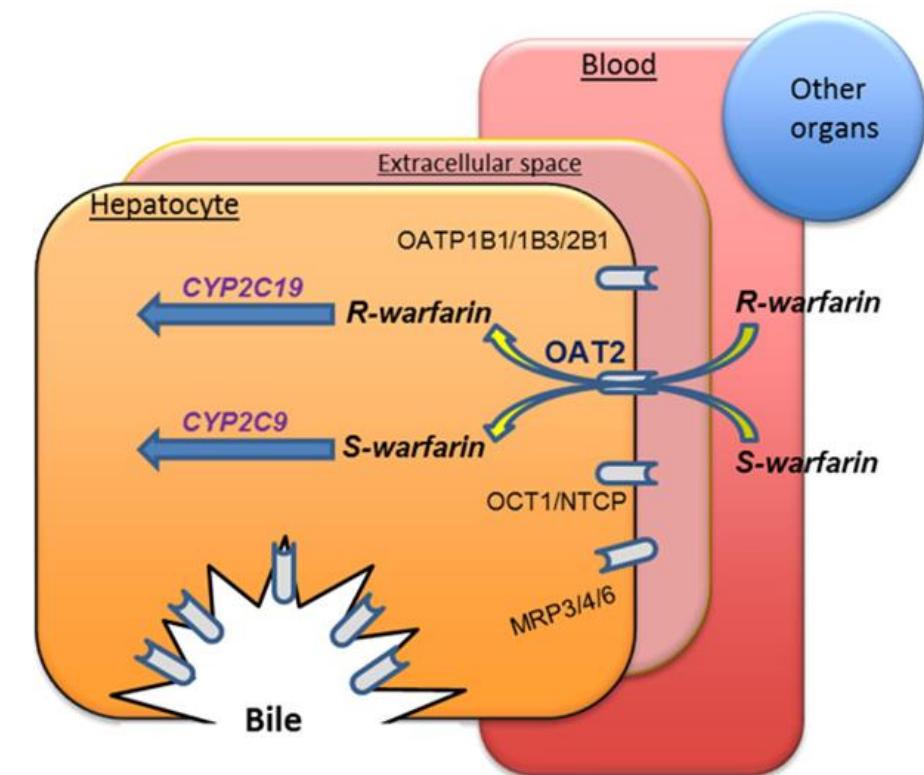
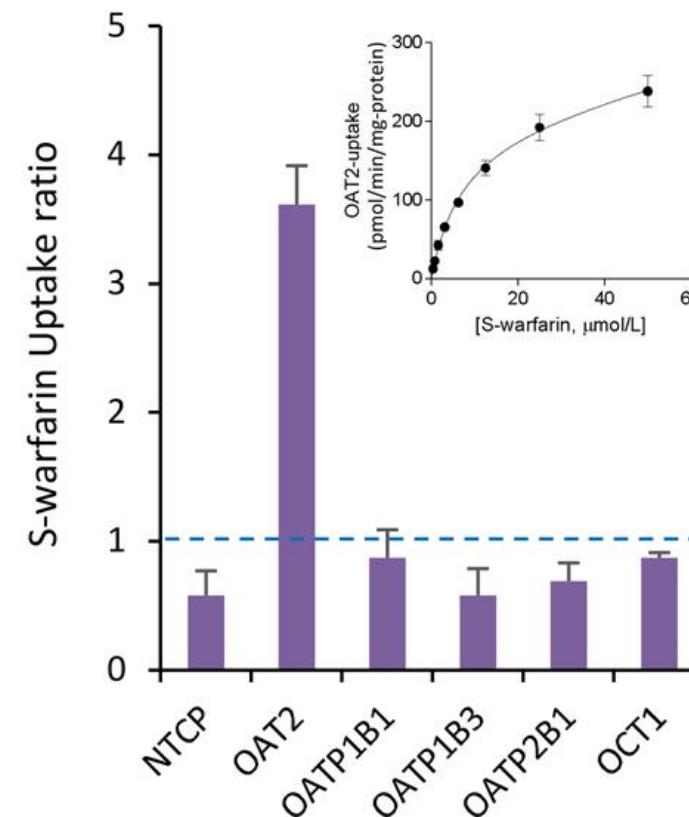
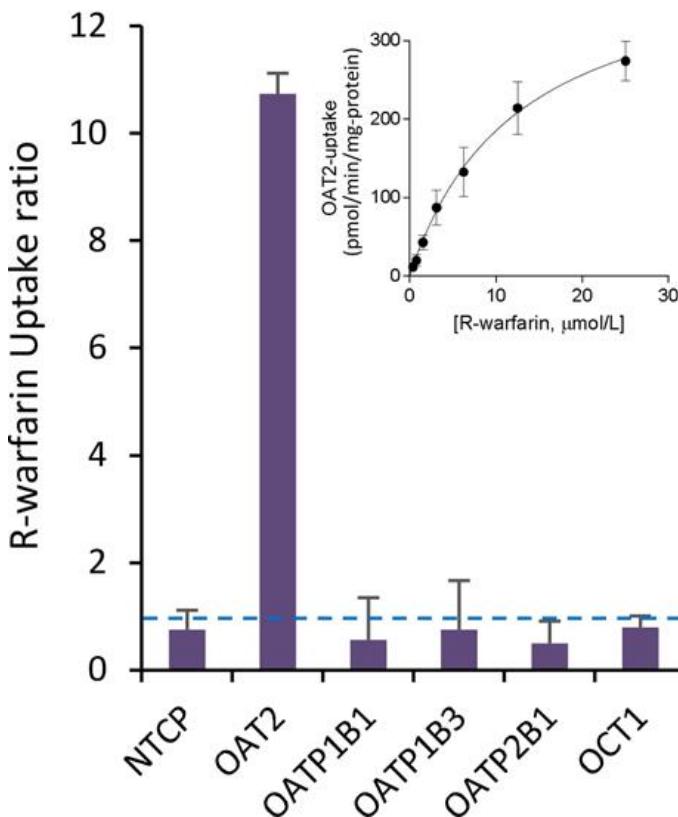


Dose-normalized



# Warfarin: PBPK Model with CYP/OAT2 Interplay (Bi et al. 2018)

Interpatient variability in S-warfarin clearance is only partially (~20-30%) explained by CYP2C9 genotypes. -> ***Involvement of hepatic transporters??***



(Bi et al. 2018; PMID: 29433307)

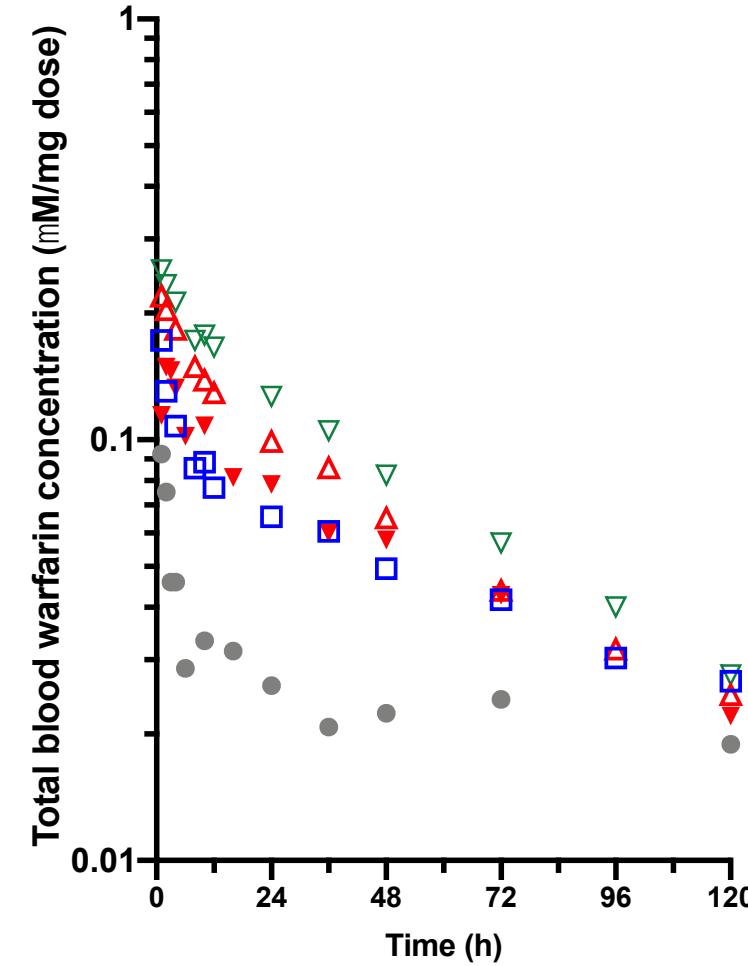
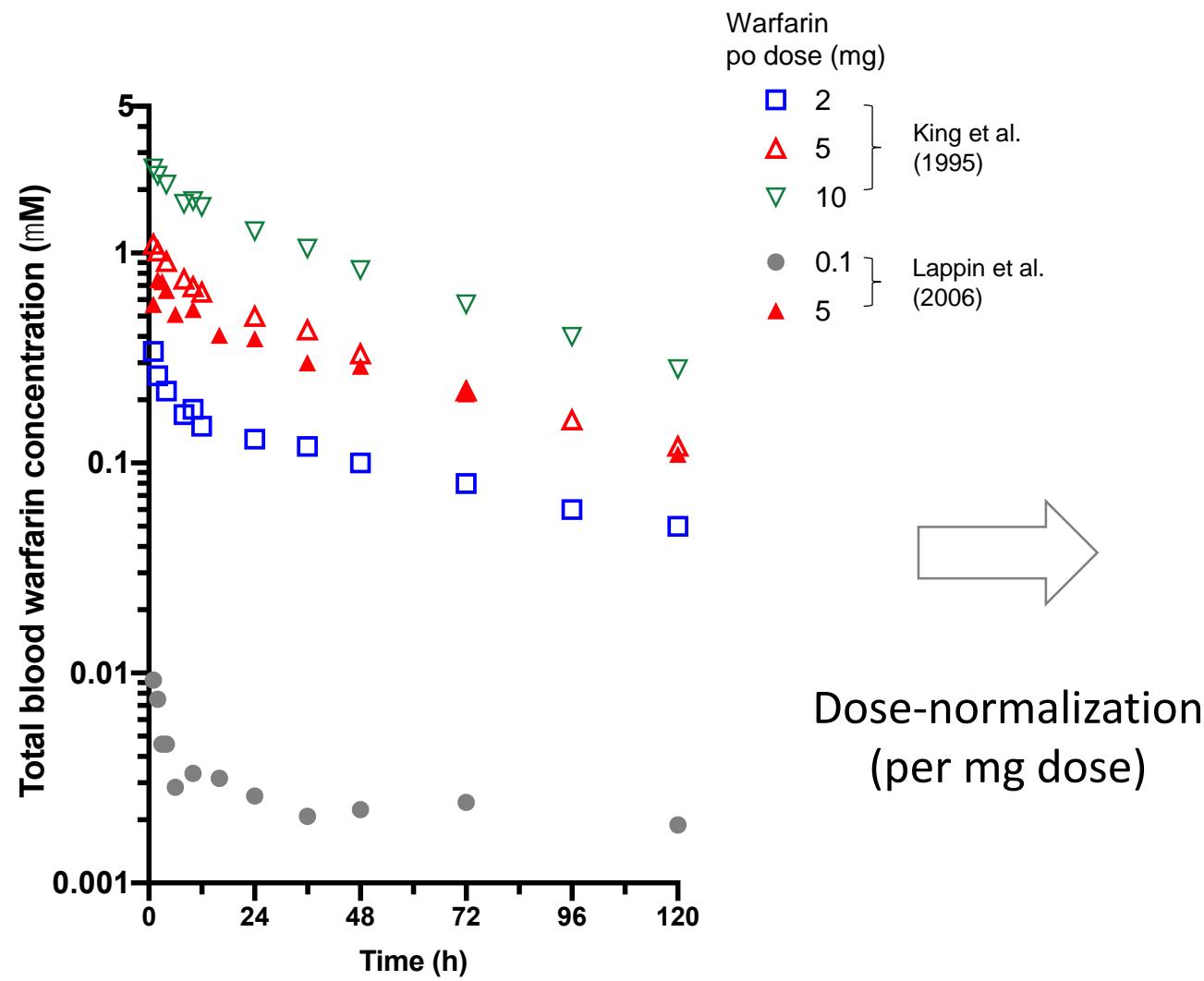
# Goals

**1. Establish a PBPK model for warfarin incorporating our current molecular understanding of warfarin disposition processes;**

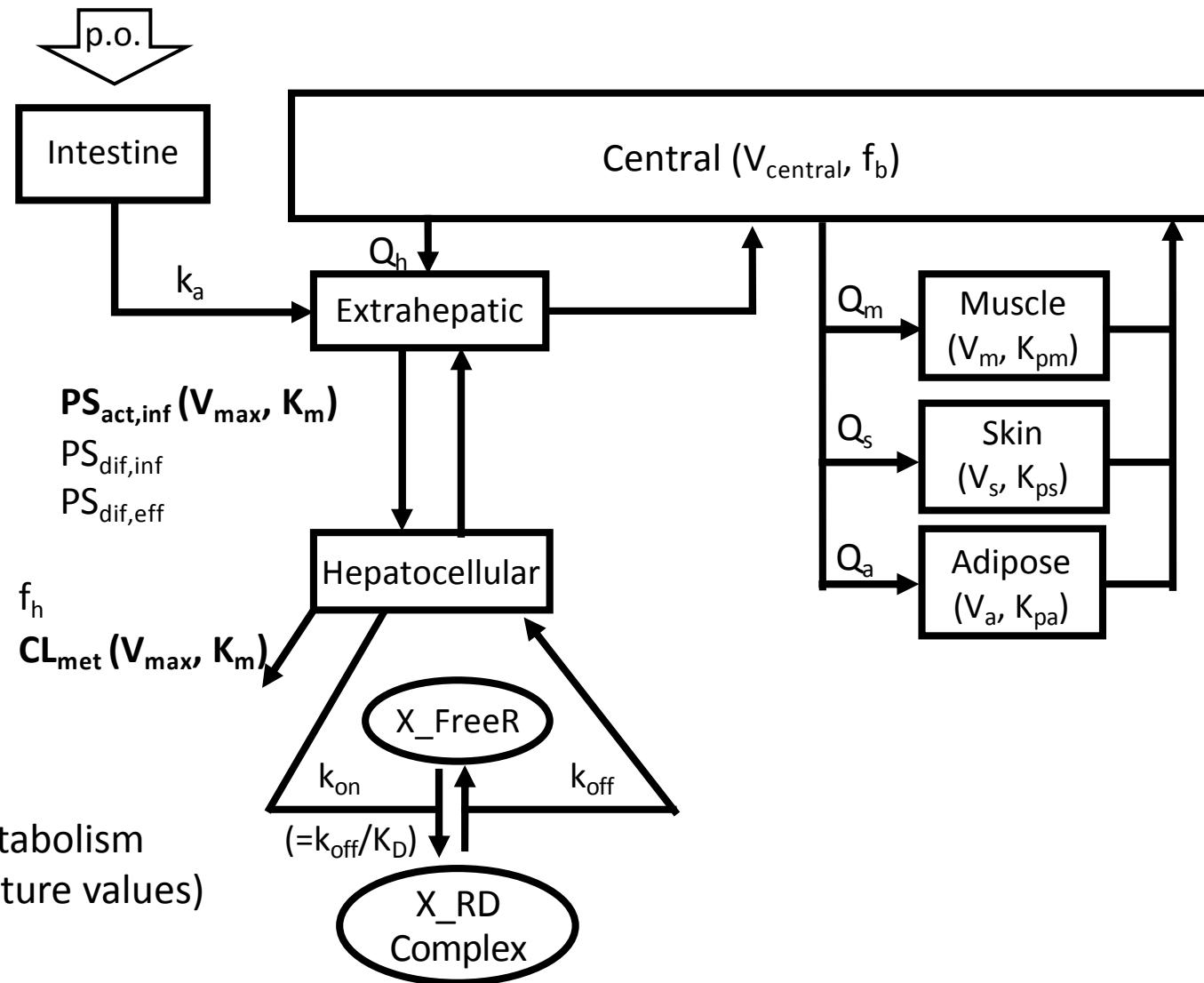
- **Saturable target binding in the liver**
- OAT2/CYP interplay (Bi et al. 2018)

**2. Identify optimal conditions (range of doses or concentrations) for reliable estimation of target binding parameters ( $K_D$ , Rc occupancy) based on blood drug concentration profiles only**

# Warfarin Observed PK Data



# Warfarin PBPK Model: Structure



$dX_{Abs\_po0\_1}dt = -ka * X_{Abs\_po0\_1}$

$dC_{Central\_po0\_1}dt = 1 / V_{central} * (Qh * (C_{HepEx\_po0\_1} - C_{Central\_po0\_1}) - Qm * (C_{Central\_po0\_1} - C_{Muscle\_po0\_1} / Kpm) - Qs * (C_{Central\_po0\_1} - C_{Skin\_po0\_1} / Kps) - Qa * (C_{Central\_po0\_1} - C_{Adipose\_po0\_1} / Kpa))$

$dC_{HepEx\_po0\_1}dt = 1 / V_{he} * (ka * X_{Abs\_po0\_1} + Qh * (C_{Central\_po0\_1} - C_{HepEx\_po0\_1}) - (V_{max\_act\_inf} / (Km_{act\_inf} + fb * C_{HepEx\_po0\_1}) * fb * C_{HepEx\_po0\_1} + (V_{max\_act\_inf} / Km_{act\_inf}) * R_{dif} * fb * C_{HepEx\_po0\_1} - (V_{max\_act\_inf} / Km_{act\_inf}) * R_{dif} / 0.243 * fh * C_{Hep\_po0\_1}))$

$dC_{Hep\_po0\_1}dt = 1 / V_h * (V_{max\_act\_inf} / (Km_{act\_inf} + fb * C_{HepEx\_po0\_1}) * fb * C_{HepEx\_po0\_1} + (V_{max\_act\_inf} / Km_{act\_inf}) * R_{dif} * fb * C_{HepEx\_po0\_1} - (V_{max\_act\_inf} / Km_{act\_inf}) * R_{dif} / 0.243 * fh * C_{Hep\_po0\_1} - (V_{max\_met} / (Km_{met} + fh * C_{Hep\_po0\_1}) * fh * C_{Hep\_po0\_1}) - k_{off} / Kd * fh * C_{Hep\_po0\_1} * X_{FreeR\_po0\_1} + k_{off} * X_{RDcomplex\_po0\_1})$

$dX_{FreeR\_po0\_1}dt = k_{off} * X_{RDcomplex\_po0\_1} - k_{off} / Kd * fh * C_{Hep\_po0\_1} * X_{FreeR\_po0\_1}$

Target binding inside hepatocytes

$dX_{RDcomplex\_po0\_1}dt = k_{off} / Kd * fh * C_{Hep\_po0\_1} * X_{FreeR\_po0\_1} - k_{off} * X_{RDcomplex\_po0\_1}$

$dC_{Muscle\_po0\_1}dt = 1 / V_m * Qm * (C_{Central\_po0\_1} - C_{Muscle\_po0\_1} / Kpm)$

$dC_{Skin\_po0\_1}dt = 1 / V_s * Qs * (C_{Central\_po0\_1} - C_{Skin\_po0\_1} / Kps)$

$dC_{Adipose\_po0\_1}dt = 1 / V_a * Qa * (C_{Central\_po0\_1} - C_{Adipose\_po0\_1} / Kpa)$

$dR_{occupancy\_po0\_1}dt = (k_{off} / Kd * fh * C_{Hep\_po0\_1} * X_{FreeR\_po0\_1} - k_{off} * X_{RDcomplex\_po0\_1}) / X_{TotalR}$

Rc occupancy calculated by [RDcomplex\_po0\_1] divided by X\_TotalR

# Cluster Newton Method (CNM)

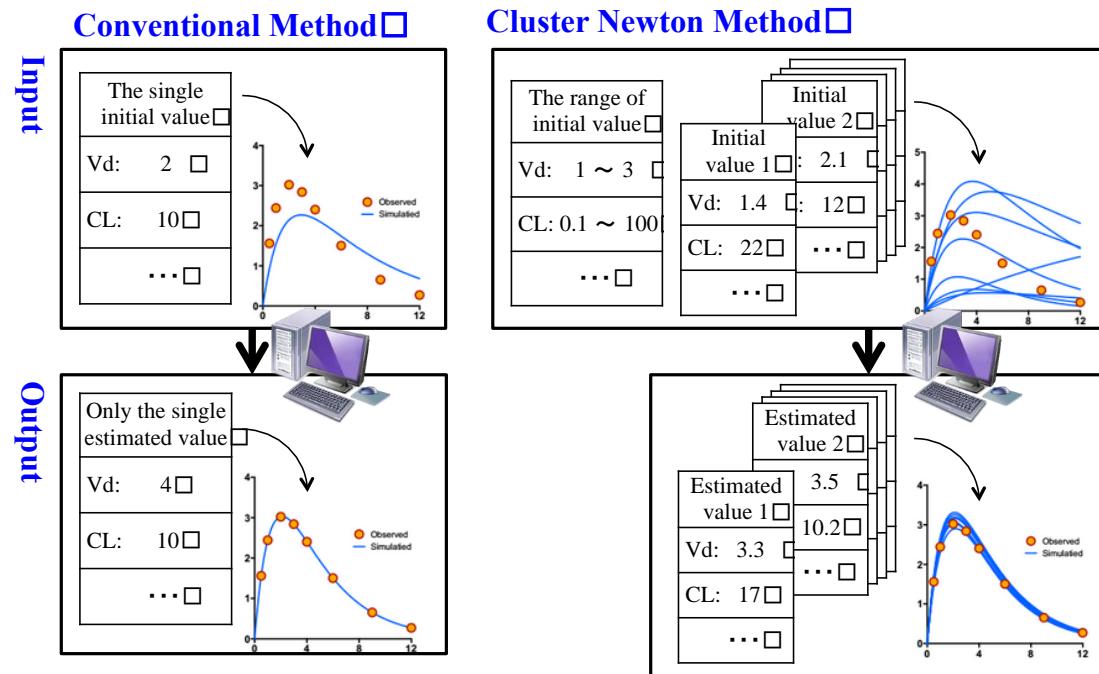
For finding multiple solutions of underdetermined inverse problems.

Conventional method (e.g. Gauss-Newton method)

- Requires appropriate initial value for parameters.
- Obtains only a single set of optimized parameters.

Cluster Newton method

- Requires only setting wide ranges for initial values of parameters.
- Obtains multiple sets of optimized parameters.
- Can estimate many unknown parameters.

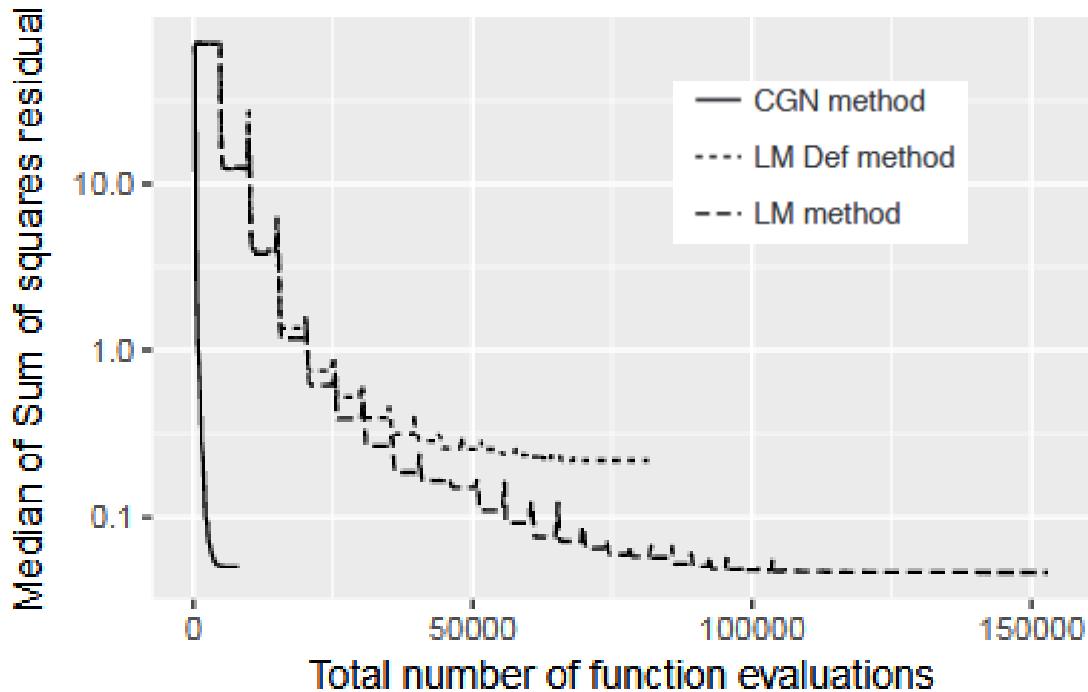


Aoki Y et al. SIAM J Sci Comp  
36:B14-B44 (2014).

Slide prepared by K.  
Toshimoto (Riken,  
Sugiyama Laboratory)

# Cluster Gauss-Newton Method (CGNM)

- CGNM allows for optimization of multiple sets of parameters which **minimize the objective function such as the sum of squares residual**.
  - Update the next values for each set using weighted linear regression (CNM-like regression) instead of Jacobian (Gauss-Newton Method).



Preprint version is uploaded by arXiv.org.  
<https://arxiv.org/abs/1808.06714>

**Cluster Gauss-Newton method for sampling multiple solutions of nonlinear least squares problems - with applications to pharmacokinetic models**

Yasunori Aoki, Ken Hayami, Kota Toshimoto, Yuichi Sugiyama

(Submitted on 20 Aug 2018 (v1), last revised 4 Oct 2018 (this version, v2))

# Warfarin PBPK model parameters

## Fixed parameters

Parameter	Unit	Value	Ref
$K_{m(\text{met})}$	$\mu\text{mole/L}$	10	Shaik et al., 2016
$K_{m(\text{act,influx})}$	$\mu\text{mole/L}$	8.9	Bi et al., 2018
$K_{pa}$		0.883	Rodgers & Rowland, 2006
$K_{pm}$		0.115	
$K_{ps}$		0.477	
$Q_h$	L/h	96.9	
$Q_a$	L/h	17.4	Davies & Morris, 1993
$Q_m$	L/h	50.1	
$Q_s$	L/h	20.1	
$V_{\text{central}}$	L	<b>4.47</b>	Levy et al., 2003
$V_{he}$	L	0.521	Kawai et al., 1998 Davies & Morris, 1993
$V_h$	L	1.36	
$V_a$	L	11.1	
$V_m$	L	33.4	
$V_s$	L	8.69	
$f_b$		<b>0.022</b>	(0.013/0.59) Bi et al., 2018
$f_h$		<b>0.69</b>	Bi et al., 2018

Using CGNM  
 1,000 parameter sets,  
 100 iterations/run

Fitted parameters (Initial ranges were set 1/100 – 100 fold of the base values from the literature)

Parameter	Unit	Initial min	Initial max
$K_d$	$\mu\text{M}$	0.0032	32
$V_{\max\_met}$	$\mu\text{mol/h}$	3.5	35000
$V_{\max\_uptake}$	$\mu\text{mol/h}$	26	260000
$k_{off}$	/h	0.000405	4.05
$k_a$	/h	0.1	6
Total_R	$\mu\text{mol}$	0.1	1000

# Results: SSR

Repeated CGNM runs  
with different initial clusters

CGNM run  
1,000 parameter sets via  
100 iterations per run

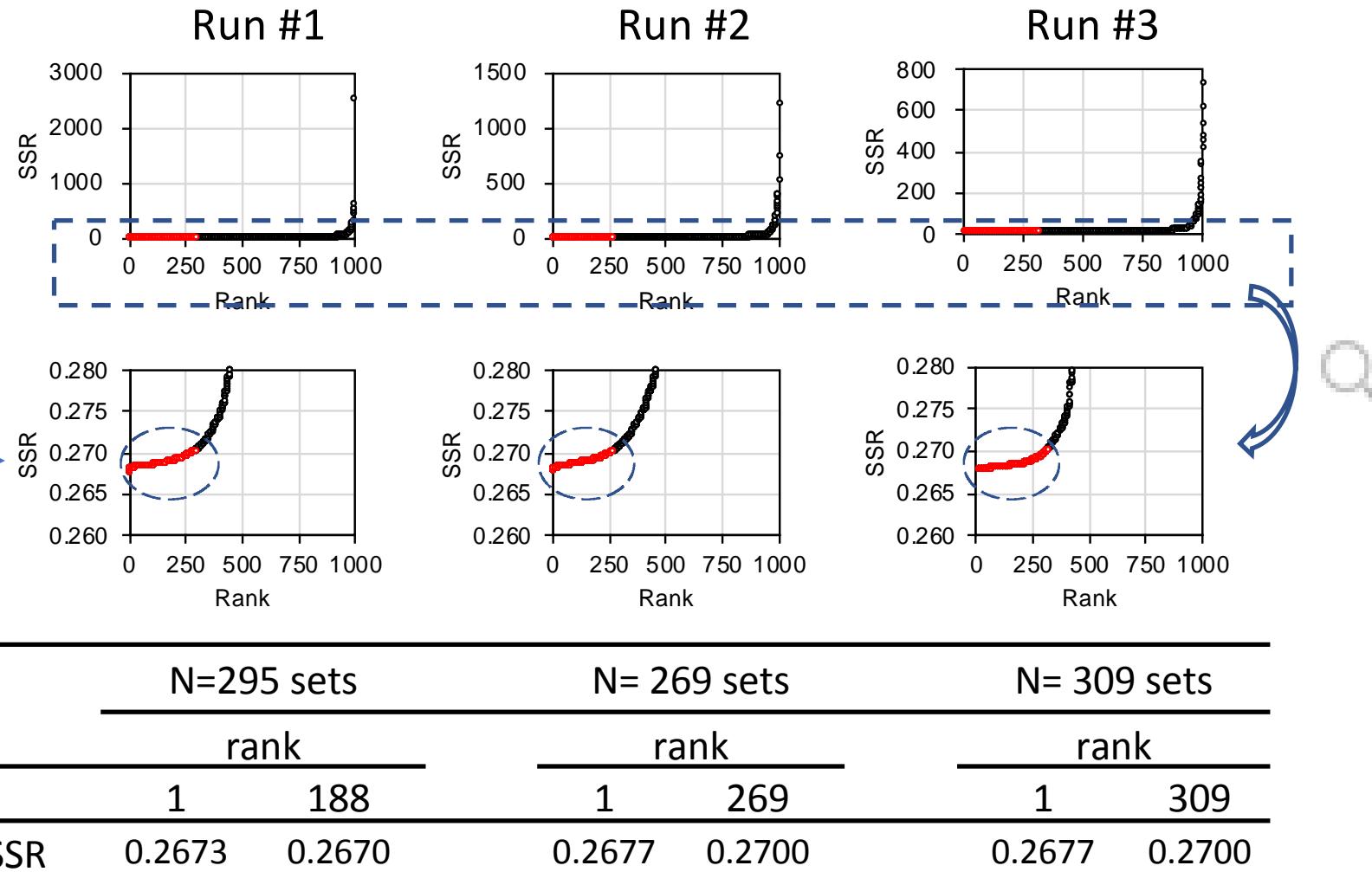


SSR values plotted in the  
ascending order



SSR cut-off  
 $=0.27$

Parameter sets with SSR  
values less than cut-off  
values (marked in red)  
were used for  
subsequent analysis

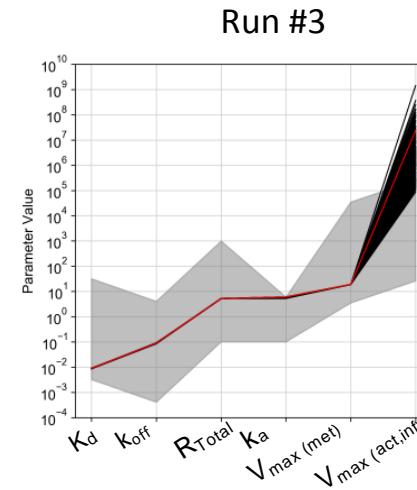
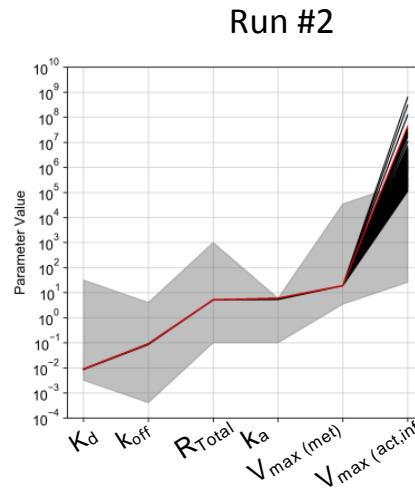
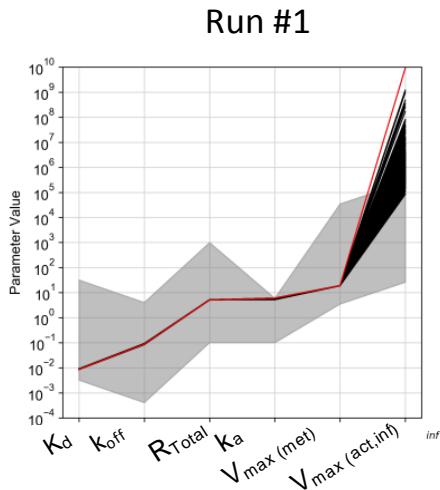


# Results

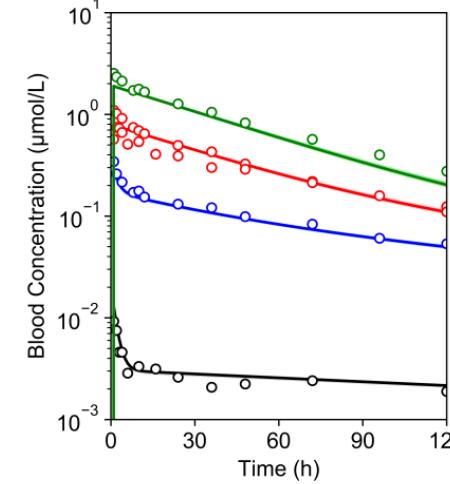
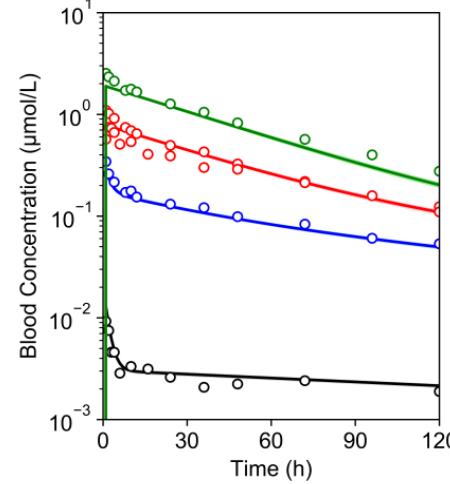
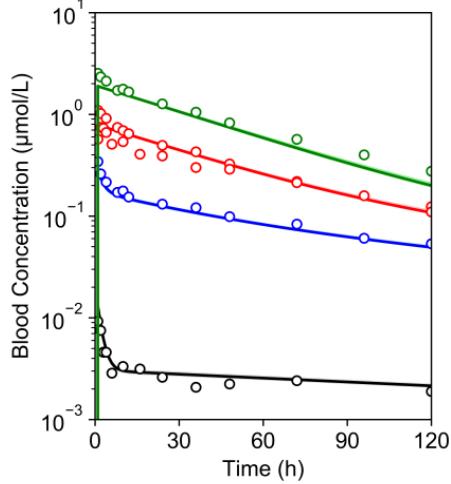
## Repeated CGNM runs with different initial clusters

King et al.			Lappin et al.	
2	5	10	0.1	5
✓	✓	✓	✓	✓

Parameter distribution



Blood PK profiles



All the parameters sets below SSR cutoff (0.27) yielded simulated blood PK profiles in good agreement with the observed data.

(in narrow ranges, all lines nearly overlapping)

# Results: Optimized Parameters

King et al.			Lappin et al.	
2	5	10	0.1	5
✓	✓	✓	✓	✓

	Run #1 (N= 295 sets)				Run #2 (N= 269 sets)				Run #3 (N= 309 sets)			
	Rank 1	max	min	median	Rank 1	max	min	median	Rank 1	max	min	median
<i>Optimized</i>												
K <sub>d</sub> (nM)	8.65	9.10	8.41	8.78	8.75	8.90	8.39	8.77	8.80	9.00	8.44	8.79
k <sub>off</sub> (/h)	0.087	0.093	0.083	0.088	0.087	0.090	0.083	0.088	0.088	0.092	0.083	0.087
R <sub>Total</sub> (μmole)	5.06	5.19	4.98	5.12	5.12	5.17	4.99	5.12	5.13	5.27	5.05	5.14
V <sub>max(met)</sub> (μmole/h)	18.89	19.03	18.51	18.75	18.76	19.07	18.48	18.78	18.74	18.99	18.38	18.67
V <sub>max(act,inf)</sub> (μmole/h)	9.12×10 <sup>9</sup>	9.12×10 <sup>9</sup>	8.43×10 <sup>4</sup>	1.22×10 <sup>6</sup>	4.34×10 <sup>7</sup>	6.18×10 <sup>8</sup>	1.11×10 <sup>5</sup>	9.25×10 <sup>5</sup>	2.36×10 <sup>7</sup>	1.43×10 <sup>9</sup>	8.72×10 <sup>4</sup>	1.84×10 <sup>6</sup>
k <sub>a</sub> (/h)	5.99	6.00	5.18	5.82	5.98	6.00	5.25	5.80	5.97	6.00	5.24	5.88
CL <sub>int,all</sub> (L/h)*	13.39	13.49	13.12	13.29	13.29	13.52	13.10	13.31	13.29	13.46	13.02	13.23

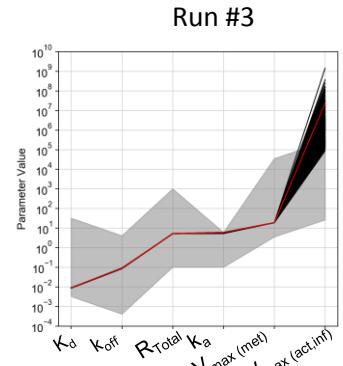
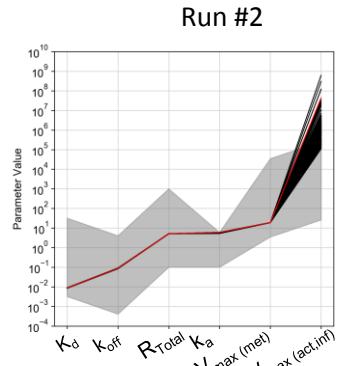
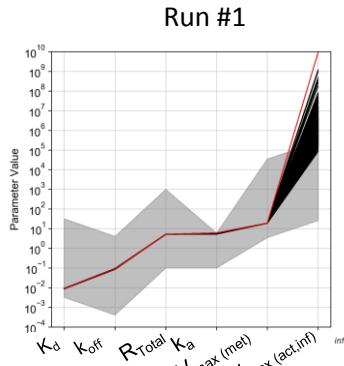
\* Calculated based on the extended clearance concept model

# Results

Repeated CGNM runs  
with different initial clusters

King et al.			Lappin et al.	
2	5	10	0.1	5
✓	✓	✓	✓	✓

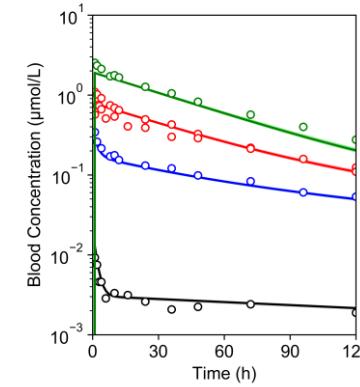
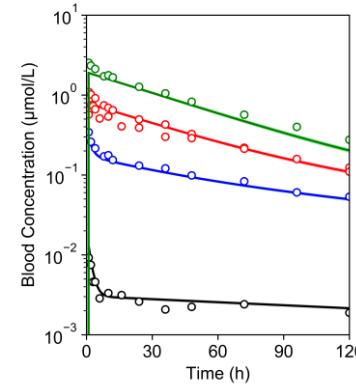
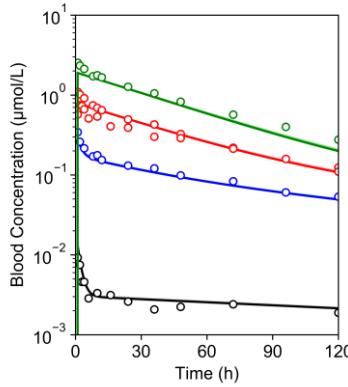
Parameter distribution



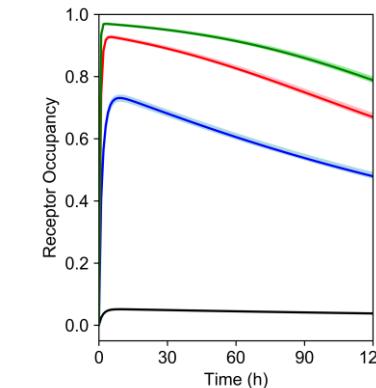
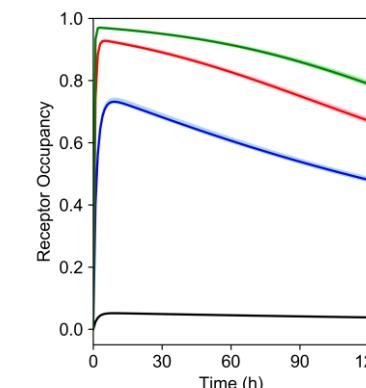
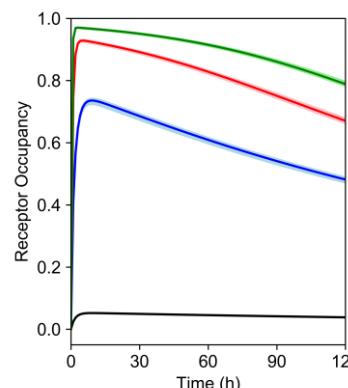
Blood PK profiles

Warfarin po dose (mg)

- 0.1
- 2
- 5
- 10



Simulated target occupancy profiles

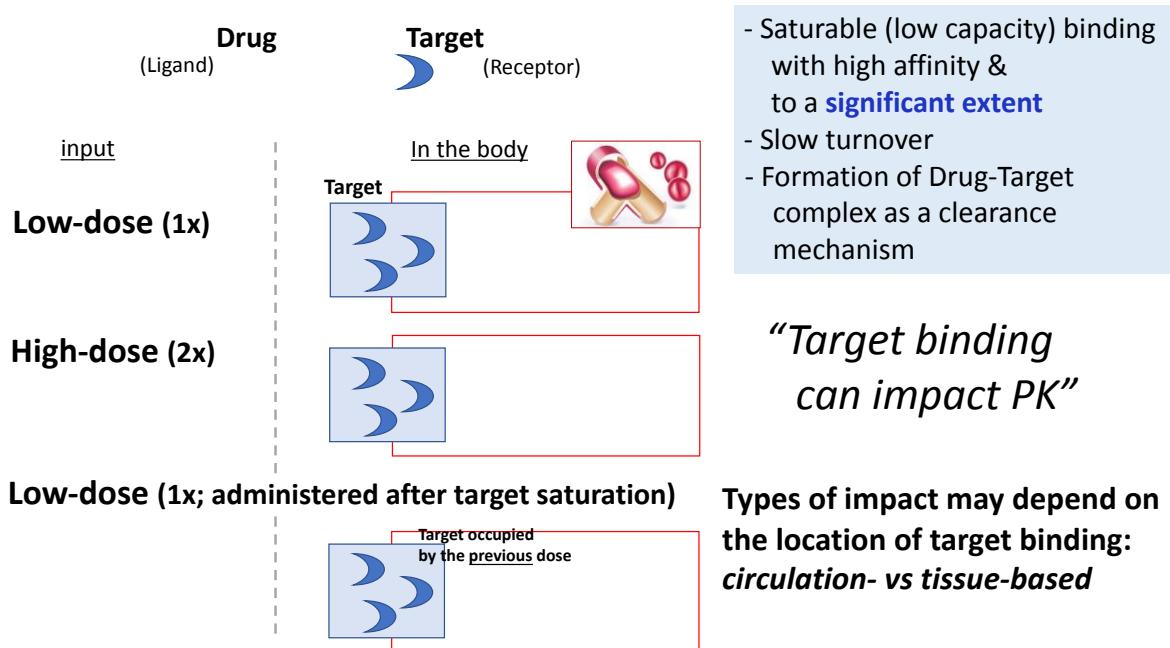


All the parameters sets below SSR cutoff (0.27) yielded simulated target occupancy profiles in a narrow range.

(all lines nearly overlapping)

## Factors of potential importance

- Target location (circulation- or tissue-based)
- Affinity to target, slow dissociation from target ( $K_d$ ,  $k_{on}$ ,  $k_{off}$ )
- Specificity to target (relative to other disposition components; nonspecific binding & non-target-mediated)
- Target abundance (significant relative to drug dose)**
- Target turnover rate



Any special  
considerations/precautions  
during the development of  
small-molecule drugs with  
TMDD?

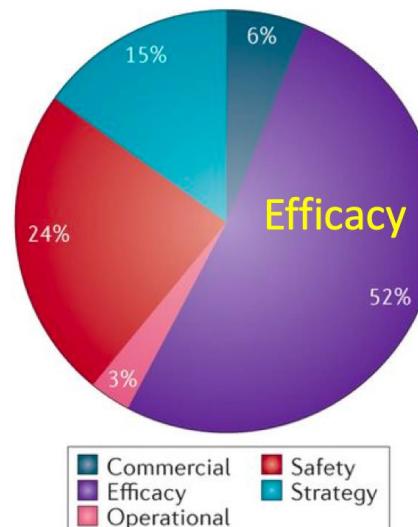
*Caution needs to be taken in;*

- extrapolating the results from microdose to therapeutic dose; from a single dose to multiple doses
- designing cross-over studies (study intervals)
- comparing the data between patients and healthy volunteers (target levels may change and TMDD may be seen only in patients, potentially with interpatient variability)

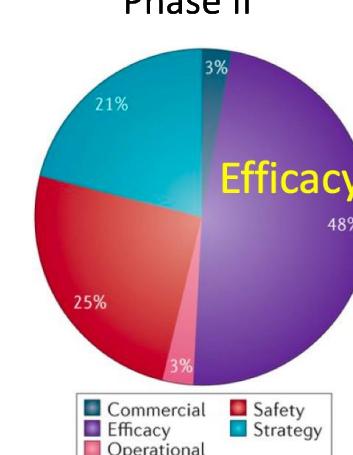
# Take-home Messages

- Small-molecule drugs may display TMDD characteristics (warfarin & other drugs with *high potency & slow dissociation from abundant targets*).
- PBPK modeling of the systemic PK profiles over a wide dose range (below & at target saturation) may enable prediction of target occupancy profiles (key information for efficacy assessment).

Reason for failure (2013-2015)



Phase II



Phase III



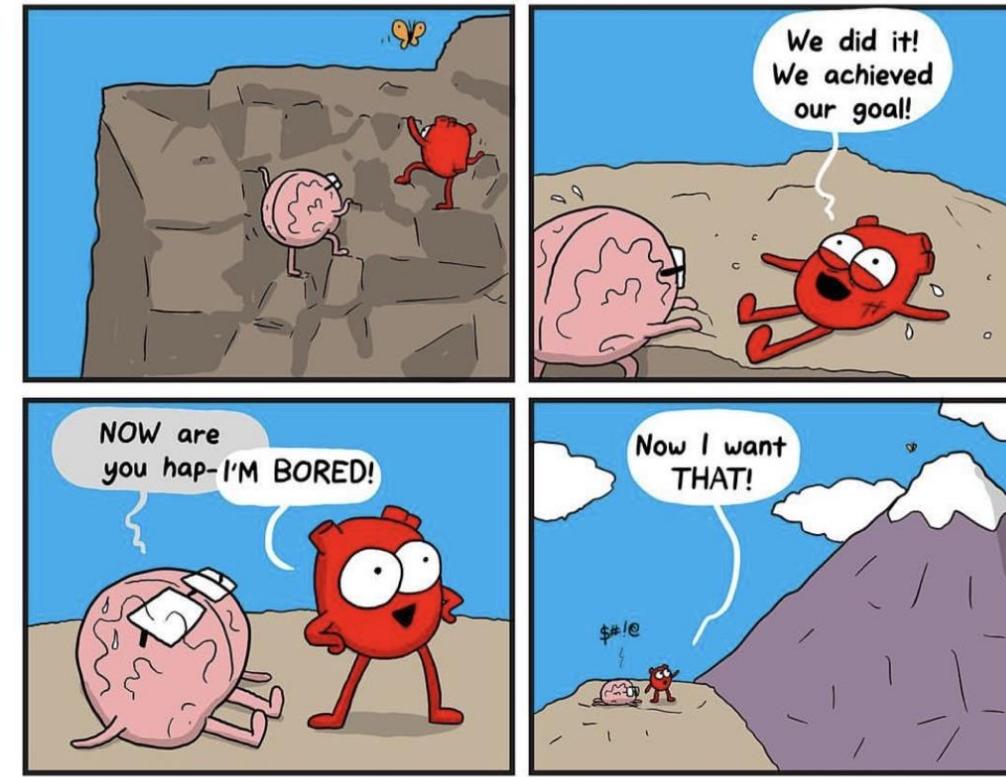
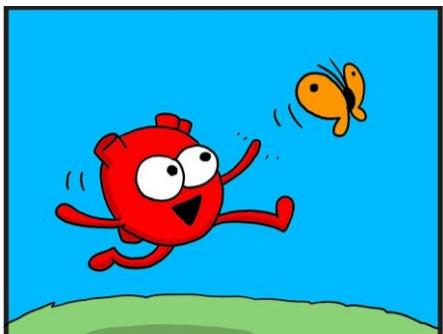
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Kota Toshimoto, PhD



Min-Soo Kim, MS  
(SNU)



Anyone interested in  
climbing <small-molecule  
drugs with TMDD>  
mountains together??

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